



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/934,246	08/21/2001	Li-Yen Mae Huang	265.0021 0101

CONFIRMATION NO. 6491

FORMALITIES LETTER



OC00000006780235

MUETING, RAASCH & GEBHARDT, P.A.
P.O. BOX 581415
MINNEAPOLIS, MN 55401

Date Mailed: 09/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

1-800-786-9899

11 FC:201
02 FC:205

370.00 CH
65.00 CH



PATENT

Docket No. 265.0021 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Huang et al.) Group Art Unit: 1614
Serial No.: 09/934,246) Examiner: Unknown
Confirmation No.: 6491)
Filed: August 21, 2001)
For: NEW STRATEGY FOR DRUG DESIGN

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

Upon review of the Filing Receipt received from the U.S. Patent and Trademark Office in connection with the above-identified application, the following error was noted.

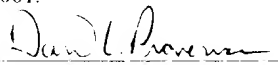
Specifically, the second named inventor's given name is incorrect. The correct spelling is YANPING Gu. Applicants respectfully request that the Filing Receipt be corrected to reflect this correction.

A copy of the Filing Receipt with this correction marked in red is enclosed. Applicants respectfully request a corrected Filing Receipt be issued.

If the Examiner has any questions regarding this submission, please contact Applicants' Representative at the below-listed telephone number.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on this 21st day of November, 2001.


David L. Provence

Respectfully submitted,

Huang et al.
By
Muetting, Raasch & Gebhardt, P.A.
P.O. Box 581415
Minneapolis, MN 55458-1415
Telephone (612)305-1220
Facsimile (612)305-1228
Customer Number 26813

November 21, 2001
Date

By: David L. Provence
David L. Provence
Reg. No. 43,022
Direct Dial (612) 305-1220



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/934,246	08/21/2001	1614	0.00	265.0021 0101	5	20	2

26813

MUETING, RAASCH & GEBHARDT, P.A.
P.O. BOX 581415
MINNEAPOLIS, MN 55401

IDS 11-21-01

STATUS 8-21-02

CONFIRMATION NO. 6491

FILING RECEIPT



OC000000006780234

Date Mailed: 09/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Li-Yen Mae Huang, Residence Not Provided;
Yaping Gu, Residence Not Provided;

Yaping Gu

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/226,833 08/22/2000

Foreign Applications

If Required, Foreign Filing License Granted 09/24/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Preliminary Class

514

RECEIVED

MUETING & RAA



PATENT

Docket No. 265.0021 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Huang et al.) Group Art Unit: 1614
)
Serial No.: 09/934,246) Examiner: Unknown
Confirmation No.: 6491)
)
Filed: August 21, 2001)
)
For: NEW STRATEGY FOR DRUG DESIGN

COMMUNICATION RE: MISSING PARTS

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231


Sir:

In response to the "Notice to File Missing Parts of Application," enclosed is an executed Declaration by the named inventors. Please charge PTO Deposit Account No. 13-4895 in the amount of \$65 for the missing parts surcharge. Please charge any additional fees or credit any over-payment to PTO Deposit Account No. 13-4895.

The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on this 21st day of November, 2001.


David L. Provence

Respectfully submitted for

Huang et al.
By
Mueeting, Raasch & Gebhardt, P.A.
P.O. Box 581415
Minneapolis, MN 55458-1415
Telephone (612)305-1220
Facsimile (612)305-1228
Customer Number 26813

Ref: N-1-1001
Direct Dial (612) 305-1005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Huang et al.

RECEIVED

Group Art Unit: 1614

Serial No.: 09/934,246

JUN 25 2002

Examiner: Unknown

Confirmation No.: 6491

JUN 25 2002

Docket No.: 265,0021 0101

Filed: August 21, 2001

Title: NEW STRATEGY FOR DRUG DESIGN

RECEIVED
JAN 29 2002
TECH CENTER 1600/2900

Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
- ☒ An itemized return postcard.
- ☐ A Petition for Extension of Time for __ month(s) and a check in the amount of \$__ for the required fee.
- ☐ An Information Disclosure Statement (__ pgs); copies of __ applications; 1449 forms (__ pgs); and copies of __ documents cited on the 1449 forms.
- ☒ An Assignment of the invention to Board of Regents, The University of Texas System and Recordation Form Cover Sheet.
- ☒ Please charge Deposit Account No. 13-4895 in the amount of \$40 for the assignment recordation fee.
- ☐ Other: __
- ☐ Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$9 =	
Independent Claims				x \$42 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$140 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231, on this 21st day of November, 2001.

[Signature]

Facsimile (617) 800-1400

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.8)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Received
#

Applicant(s): Huang et al.
Serial No.: 09/934,246
Confirmation No.: 6491

Group Art Unit: 1614
Examiner: Unknown
Docket No. 265.0021 0101

Filed: August 21, 2001

Title: NEW STRATEGY FOR DRUG DESIGN

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
☒ An itemized return postcard.
☐ A Petition for Extension of Time for __ month(s) and a check in the amount of \$__ for the required fee.
☒ A signed Declaration (4 pgs).
☒ Other: Communication Re: Missing Parts (1 pg).
☒ Other: Notice to File Missing Parts of Nonprovisional Application - Part 2 (1 pg).
☒ Other: Request for Corrected Filing Receipt (2 pgs, including copy of Filing Receipt w/correction in red).
☒ Please charge Deposit Account No. 13-4895 in the amount of \$370 for the application filing fee.
☒ Please charge Deposit Account No. 13-4895 in the amount of \$ 65 for the missing parts surcharge.

APPLICATION FILING FEE					
	Number of Pending Claims (1)	Claims Included in Basic Filing Fee (2)	Number of Extra Claims (1-2)	Cost per Extra Claim	Fee Required
Total Claims	20	20	0	x \$9 =	0
Independent Claims	2	3	0	x \$42 =	0
One or More Multiple Dependent Claims Presented? If Yes, Enter \$140 Here →					0
Enter Basic Filing Fee (Utility Patent-\$370) Here →					370
Missing Parts Surcharge (Small Entity) Here →					65
Total Application Filing Fee					435

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on 04/24/01, by of November 2001.

Office Number: 26813

Ref. No.: 134922
Direct Dial: 612-305-1005
Facsimile: 612-305-1228

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.8)